PATENT APPLICATION

Attorney's Docket: 068082.0113

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## TRANSMITTAL FOR U.S. PATENT APPLICATION UNDER 37 CFR §1.53(b)



**Box Patent Application**ASSISTANT COMMISSIONER
OF PATENTS
Washington, D.C. 20231

Attorney's Docket: 068082.0113

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor:

John C. Seibel, et al.

For:

TEXT MINING SYSTEM FOR WEB-BASED BUSINESS

INTELLIGENCE

X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Enclosed are:

- (1) <u>36</u> pages of Specification, Claims and Abstract;
- (2) \_\_\_\_ pages of Executed Declaration and Power of Attorney;
- (3) 11 pages of Informal Drawings;
- page executed Verified Statement Claiming Small Entity Status under 37 C.F.R. §§ 1.9(f) and .1.27(c) Small Business Concern;
- (5) \_\_\_\_\_ pages of an Assignment of the invention to Netpliance, Inc.;
- pages of a separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h);
- (7) \_\_\_\_ pages Information Disclosure Statement with PTO-1449 Form (\_\_ pages) & attached references Acco bound (\_\_ references);
- (8) 1 page Certificate of Mailing by Express Mail; and
- (9) 2 pages Patent Application Bibliographic Data sheet (PrintEFS Version 1.0.1); and
- (10) The accompanying application claims the benefit of U.S. provisional patent application Serial No. 60/206,772 filed May 25, 2000.
- (11) This Transmittal Form with attached patent application filing fee in the amount of \$355.00.

| FEE CALCULATION       |        |    |                 |               | FEE                           |
|-----------------------|--------|----|-----------------|---------------|-------------------------------|
| Total Claims          | Number | 20 | Number<br>Extra | Rate X \$ 9 = | Basic Fee<br>\$355.00<br>0.00 |
| Independent<br>Claims | 2      | 3  | 0               | X \$40 =      | 0.00                          |
| TOTAL FILING FEE =    |        |    |                 |               | \$355.00                      |

Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. A duplicate copy of this sheet is enclosed.

BAKER BOTTS L.L.P. Attorneys for Applicants

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John C. Seibel, et al.

Date Filed:

May 24, 2001

Title:

TEXT MINING SYSTEM FOR WEB-BASED

BUSINESS INTELLIGENCE

Box Patent Application Honorable Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

## CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Application Data Sheet, Transmittal, Verified Statement Claiming Small Entity Status, Patent Application, and Informal Drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 24th day of May, 2001, and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Express Mail Receipt No. EL740056761US Attorney's Docket: 068082.0113